

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10693004
Filing Date	2003-10-24
First Named Inventor	Galen C. Hunt
Art Unit	2161
Examiner Name	
Attorney Docket Number	MS1-1776US

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5668995		1997-09-16	Bhat	
	2	6393386	B1	2002-05-21	Zager et al.	
	3	6470464	B2	2002-10-22	Bertram et al.	
	4	6701363	B1	2004-03-02	Chiu et al.	
	5	6912568	B1	2005-06-28	Nishiki et al.	
	6	6915338	B1	2005-07-05	Hunt et al.	
	7	6944183	B1	2005-09-13	Iyer et al.	
	8	7003562	B2	2006-02-21	Mayer	

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9	7035786	B1	2006-04-25	Abu El Ata et al.	
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U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020069267	A1	2002-06-06	Thiele	
	2	20030014644	A1	2003-01-16	Burns et al.	
	3	20030225563	A1	2003-12-04	Gonos	
	4	20050091227	A1	2005-04-28	McCollum et al.	
	5	20050097146	A1	2005-05-05	Konstantinou et al.	
	6	20050138416	A1	2005-06-23	Qian et al.	
	7	20050152270	A1	2005-07-14	Gomez Paredes et al.	
	8	20050257244	A1	2005-11-17	Joly et al.	

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9	20060025984	A1	2006-02-02	Papaefstathiou et al.	
10	20060025985	A1	2006-02-02	Vinberg et al.	
11	20060161884	A1	2006-07-20	Lubrecht et al.	
12	20060235664	A1	2006-10-19	Vinberg et al.	
13	20070006177	A1	2007-01-04	Alber et al.	

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FOREIGN PATENT DOCUMENTS

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	1	CN1368694		A	2002-09-11	ANDEHUA SCIENCE AND TECHNOLOGY (CN)		<input type="checkbox"/>
	2	CN1375685		A	2002-10-23	MATSUSHITA ELECTRIC IND CO LTD (JP)		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵

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1	CHEN et al., "Performance Prediction of Component-based Applications", available at least as early as Jan 10, 2007, at << http://www.ug.it.usyd.edu.au/~iango/papers/jss_paper_draft_0503.pdf >>, pp 1-12	<input type="checkbox"/>
2	DEKHIL, et al., "Generalized Policy Model for Application and Service Management", Hewlett-Packard Laboratories, Software Technology Lab, 3 pages.	<input type="checkbox"/>
3	Notice on Office Action from the People's Republic of China Patent Office for Application No. 200410033027.7, dated February 2, 2007, 11 pages.	<input type="checkbox"/>
4	GARSCHHAMMER et al., "Towards Generic Service Management Concepts A Service Model Based Approach", Integrated Network Management Proceedings, 2001 IEEE/IFIP Int'l Symposium, May 2001, 14 pages.	<input type="checkbox"/>
5	HARBOUR et al., "MAST An Open Environment for Modeling, Analysis, and Design of Real-Time Systems", available at least as early as Jan 10, 2007, at << http://citeseer.ist.psu.edu/cache/papers/cs/30959/http:zSzzSzwww.ctr.unican.eszSzpublicationszSzmgh-jlm-jjg-jcp-jmd-2002a.pdf/mast-an-open-environment.pdf >>, pp 1-16	<input type="checkbox"/>
6	HARDWICK, et al., "Modeling the Performance of E-Commerce Sites", 01/06/2007, at << http://www.cs.cmu.edu/~jch/publications/hardwick01modeling.html >>, Journal of Computer Resource Management, 2002, pp 1-11	<input type="checkbox"/>
7	"Integrated Security Management", OpenPMF(Policy Managment Framework), 2 pages.	<input type="checkbox"/>
8	KOUNEV, "A Capacity Planning Methodology for Distributed E-Commerce Applications", January 2, 2001, pp 1-13	<input type="checkbox"/>
9	Mahon, "OpenView PolicyXpert: Heterogeneous Configuration and Control", OpenView Policy-Based Network Management, Feb. 1999, 4 pages.	<input type="checkbox"/>
10	NORTON et al., "Simalytic Hybrid Modeling: Planning the Capacity of Client/Server Applications", available at least as early as Jan 10, 2007, at << http://citeseer.ist.psu.edu/cache/papers/cs/12830/http:zSzzSzwww.simalytic.comzSzRESUMEzSz.zSzIMACS97x.pdf/simalytic-hybrid-modeling-planning.pdf >>, Colorado Technical University, 1997, pp 1-7	<input type="checkbox"/>
11	SELIC, "A Generic Framework for Modeling Resources with UML", available at least as early as Jan 10, 2007, at << ">http://ieeexplore.ieee.org/iel5/2/18367/00846320.pdf?isNumber=> >>, IEEE, June, 2000, pp 64-69	<input type="checkbox"/>

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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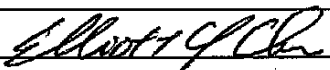
☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	3-29-07
Name/Print	Rustan J. Hill <i>Elliott Chen for</i>	Registration Number	37351

Rustan J. Hill (58,293)

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